

February 24, 2012



UNITED STATES DEPARTMENT OF EDUCATION
WASHINGTON, DC 20202

PROGRAM MEMORANDUM

TO: State Directors of Career and Technical Education

FROM: Sharon L. Miller *Sharon Lee Miller*

SUBJECT: Revised Federal Fiscal Year (FY) 2011 State Allocations under the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV)

I am providing you with the revised State allocations for FY 2011 under Title I (Career and Technical Education Assistance to the States) of the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV or the Act). See the attached table. Please share these revised allocations with your secondary or postsecondary counterpart, fiscal staff, and other appropriate individuals. This memo will be available shortly on our Web site at: <http://cte.ed.gov>

As you know, the Office of Vocational and Adult Education (OVAE) made grants on July 1, 2011, from funds available under the U.S. Department of Education's (Department's) appropriations act for FY 2011 and supplemented these grants on October 1, 2011. In an October 5, 2011 program memorandum, I provided revised allocations that reflected an across-the-board reduction of 1.503 percent to the "advance funded" amount available for programs administered by the Department, including Perkins IV, pursuant to the September 30, 2011 Continuing Appropriations Act, 2012 (Public Law Number (Pub. L. No.) 112-33). I am happy to inform you that this across-the-board reduction was decreased from 1.503 percent to 0.189 percent in the Consolidated Appropriations Act, 2012 (Pub. L. No. 112-74). **Under the revised allocations, some but not all States will receive supplements to their FY 2011 Perkins IV, Title I grants, which OVAE expects to award soon.**

If your State's allocation increased, your eligible agency must revise its budget for Perkins IV, Title I for program year five (July 1, 2011 – June 30, 2012) to reflect its new Perkins IV, Title I allocation, make any necessary corresponding changes to its State plan, and submit the revised budget to OVAE as discussed below.¹ Your State also may wish to review its maintenance of effort calculation under section 311(b)(1)(C) of Perkins IV if your State recalculated its required level of fiscal effort based on its revised allocation that was issued October 5, 2011.

¹ Your State submitted its Perkins IV budget under the *Guidance for the Submission of State Plan Revisions, Budgets, and Performance Levels for FY 2011 Perkins IV Grant Awards - OMB Approval Number: 1830-0029*, which is available through the State Plan Submission Web site at: <http://www.perkinsinfo.com/stateplans2011>

Perkins IV Requirements for Within-State Allocations and Possible Options

Your State must ensure that it meets the within-State allocation requirements in section 112 of Perkins IV if your State must revise its budget to reflect an increase in its allocation. Section 112(a)(1) requires an eligible agency to make available “not less than 85 percent” of its allocation under section 111 of Perkins IV (Title I) for distribution to eligible recipients under sections 131 and 132 of Perkins IV. Section 112(a)(2) of Perkins IV authorizes the eligible agency to make available for State leadership activities “not more than 10 percent” of the State’s allocation. Section 112(a)(3) of Perkins IV authorizes an eligible agency to make available for the administration of the State plan “an amount equal to not more than five percent, or \$250,000, whichever is greater” of the State’s allocation.

Your State has options if your State must revise its Perkins IV, Title I budget to reflect your State’s revised allocation. Your State may increase:

1. All of the amounts your State made available under section 112(a), provided that your State does not make available less than 85 percent of its new total State allocation for program year five for distribution to eligible recipients or more than 10 percent and 5 percent for State leadership and administration, respectively.²

Note for Options 1 and 2: A State that increases the amount distributed under section 131 or 132 would run the formula using the same data required for this program year and the total amount of funding to be distributed to eligible recipients under Perkins IV, Title I. The State would distribute any difference in the current and new subgrant amounts to an eligible recipient. A State may need to obtain revised local applications.

2. The amount previously made available for distribution to eligible recipients without making any change to the amount it previously budgeted for State administration, State leadership, or both. See above note.
3. The State’s reserve under section 112(c) of Perkins IV whether or not the State previously reserved FY 2011 funds for this purpose.

Note for Option 3: Depending on how much a State had previously reserved under section 112(c), a State may be able to use its increased allocation for FY 2011 for this purpose and avoid having to recompute program year five subgrants to eligible recipients under the statutory formulas in sections 131 and 132 and requesting revised local applications from the State’s eligible recipients.

² Additional guidance for small States to compute their set-asides is contained in the June 2, 2009, Questions and Answers Regarding the Implementation of the Carl D. Perkins Career and Technical Education Act of 2006 – Version 2.0 (Version 3) (see D.15.).

Revised Budget Submission Requirements

If your State's allocation changed, your eligible agency *must* revise its budget for Perkins IV to reflect its revised Title I allocation in Table 1 for program year five, beginning on July 1, 2011. These eligible agencies must submit:

- A revised budget for program year five;
- Any corresponding State plan revisions; and
- A cover letter that transmits the revised budget, and any corresponding State plan changes, and that is signed by someone who is authorized to act on behalf of the eligible agency.

Submission Deadline, Signature, and Address

If your State's allocation changed, as reflected in Table 1, your eligible agency must submit its revised budget, any corresponding State plan revisions, and a cover letter to this office no later than February 24, 2012. Since all States submitted their 2011 State Plans to the Perkins State Plan Submission Web Site, States should submit their revisions through this site: <http://www.perkinsinfo.com/stateplans2011>.

Your State must send a hard copy of the signed cover letter. Please note you are responsible for confirming that our office receives a hard copy of the cover letter with an original signature within five working days of the State's on line submission. Please send the information in hard copy to:

Edward R. Smith, Chief
Program Administration Branch
Division of Academic and Technical Education
Office of Vocational and Adult Education
U. S. Department of Education
Potomac Center Plaza
550 12th Street, SW, Room 11060
Washington, DC 20202-7241

Please feel free to contact Andrew Johnson, our office's lead for Perkins fiscal matters, if you have questions regarding your State's revised allocation or any other information in this memo. Andrew may be reached by email at Andrew.Johnson@ed.gov or by phone at (202) 245-7786.

If you have any technical difficulties in entering or submitting your revisions via the State Plan Submission Website, please feel free to contact Marjorie Beaulieu@ed.gov or by phone at (202) 245-7705.

**CARL D. PERKINS CAREER AND TECHNICAL EDUCATION ACT OF 2006
(Perkins IV)**

**Table 1 - Revised FY 2011 Title I Allocations with Supplements
(Career and Technical Education Assistance to States)**

State	Revised Total Allocation	January 2012 Supplement*
ALABAMA	\$19,175,065	0
ALASKA	\$4,214,921	0
ARIZONA	\$24,835,965	\$280,796
ARKANSAS	\$11,466,830	\$63,035
CALIFORNIA	\$119,054,033	\$1,346,023
COLORADO	\$15,751,313	\$178,085
CONNECTICUT	\$9,673,769	\$109,372
DELAWARE	\$4,661,780	\$43,178
FLORIDA	\$58,739,719	\$664,111
GEORGIA	\$38,665,520	\$437,152
HAWAII	\$5,519,365	\$51,122
IDAHO	\$6,503,483	\$73,528
ILLINOIS	\$42,068,842	\$475,630
INDIANA	\$25,397,125	\$287,140
IOWA	\$11,963,946	0
KANSAS	\$10,245,408	0
KENTUCKY	\$17,905,647	0
LOUISIANA	\$21,041,943	0
MAINE	\$5,519,365	\$51,122
MARYLAND	\$15,543,385	\$175,733
MASSACHUSETTS	\$18,117,394	\$204,835
MICHIGAN	\$39,590,536	\$447,610
MINNESOTA	\$16,945,621	\$191,587
MISSISSIPPI	\$13,363,550	0
MISSOURI	\$22,419,150	\$253,471
MONTANA	\$5,218,775	\$48,337
NEBRASKA	\$6,816,893	0
NEVADA	\$8,538,304	\$96,534
NEW HAMPSHIRE	\$5,519,365	\$51,122
NEW JERSEY	\$22,473,927	\$254,090
NEW MEXICO	\$8,120,092	\$91,805
NEW YORK	\$54,367,026	\$614,673
NORTH CAROLINA	\$35,662,597	\$403,201
NORTH DAKOTA	\$4,214,921	0

State	Revised Total Allocation	January 2012 Supplement*
OHIO	\$43,010,278	\$260,277
OKLAHOMA	\$15,094,180	0
OREGON	\$13,721,279	\$155,133
PENNSYLVANIA	\$42,769,066	\$483,547
RHODE ISLAND	\$5,519,365	\$51,122
SOUTH CAROLINA	\$18,465,007	\$208,765
SOUTH DAKOTA	\$4,214,921	0
TENNESSEE	\$23,473,653	\$265,393
TEXAS	\$89,699,030	\$1,014,137
UTAH	\$12,688,144	\$143,452
VERMONT	\$4,214,921	0
VIRGINIA	\$24,206,024	\$273,673
WASHINGTON	\$20,567,804	\$232,539
WEST VIRGINIA	\$8,428,617	0
WISCONSIN	\$21,020,237	\$237,655
WYOMING	\$4,214,921	0
DISTRICT OF COLUMBIA	\$4,214,921	0
PUERTO RICO	\$18,458,484	0
VIRGIN ISLANDS	\$576,486	\$5,339
U.S. TOTAL	\$1,103,872,913	\$10,224,324

Outlying Areas (Section 115)	Revised Total Allocation	January 2012 Supplements
AMERICAN SAMOA	\$335,911	\$3,111
NORTHERN MARIANA ISLANDS	\$335,911	\$3,111
GUAM	\$633,432	\$5,867
PALAU	\$153,559	\$1,422
OUTLYING AREAS TOTAL	\$1,458,813	\$13,511

* A supplement of \$0 generally indicates that your State did *not* receive a supplement because the formula yielded an amount equal to the State's hold-harmless amount for FY 1998.